



太平洋基業證券有限公司
PACIFIC FOUNDATION SECURITIES LIMITED

Participant of The Stock Exchange of Hong Kong Ltd. (Broker No. 2160-2, 2167-9)
香港聯合交易所有限公司參與者 (編號2160-2, 2167-9)
證監會檔案編號(CE No.): AAE696

Room 4409, 44/F COSCO Tower,
183 Queen's Road Central, Hong Kong
香港上環皇后大道中 183 號
中遠大廈 44 樓 4409 室
Telephone 電話 : (852)2877 3188
Fax 傳真 : (852)2877 2088

Charges for Securities Services

Effective from 1/4/2022

1. Transaction Cost	
Brokerage	0.25% of gross amount, Minimum \$100.00 (or determine by Client and Sales Representative)
Contract Stamp	0.13% of gross amount (rounding up to the nearest dollar)
Transaction Levy (SFC)	0.0027% of gross amount
Trading Fee (HKEx)	0.005% of gross amount
CCASS Fee	0.009% of gross amount, Minimum \$3.00, Maximum \$300.00
FRC Transaction Levy	0.00015% of gross amount (rounded to the nearest cent)
Late Settlement	5% plus prime rate per annum of the unsettled amount
2. Scrip Handling and Settlement-Related Services	
Scrip Withdrawal from CCASS	\$5.00 per broad lot
Stamp Duty on Transfer Deed	\$5.00 per transfer deed
Settlement Instruction Fee (SI)	Received shares: free, Delivery shares: (Handling Charge) 0.005% of total consideration per transaction (Based on previous day closing price), Minimum \$20.00
Investor Settlement Instruction Fee (ISI)	Received shares: free, Delivery shares: \$20.00 per transaction
3. Securities Account Services	
Opening of Securities Account	Free
Inactive Account* Annual fee	\$120.00
4. Nominees Services & Corporate Actions	
Scrip Fee - Register under HKSCC Nominees Ltd.	\$2.50 per broad lot
Dividend Collection Fee	0.30% of dividend amount, Minimum \$20.00 (Hong Kong Stock)
	0.30% of dividend amount, Minimum \$300.00 (Overseas Stock)
Rights, Open offer, Bonus Issue & Scrip Option	Handling fee \$20.00
Right Issue Entitlement (Including Excess Rights Application/ Preferential Offer & Open Offer)	Charge according to CCASS disbursement (\$0.80 per board lot) plus \$20.00 Handling Charge per transaction
Callable Bull/ Bear Contracts Exercise/ Mandatory Call of Callable Bull/ Bear Contracts	Charge according to CCASS disbursement (\$0.80 per board lot) plus \$20.00 Handling Charge per transaction
Cash or Scrip Offer/ Compulsory Acquisition/ Shares Privatization	Charge according to CCASS disbursement (\$0.80 per board lot) plus \$20.00 Handling Charge per transaction
5. IPO Services	
Applied by Nominees Company	1. Non-financing application - \$150.00
	2. Financing application - \$ 200.00 plus update interest
6. Other Services	
Overseas Stock Transaction	Commission and fees charged by executed brokers and Settlement handling fee US\$50.00
Dividend / Bonus Claims	\$300.00 for each successful claim (not include photocopy & scrip fee)
Bank Transfer	Client pays the bank charges of both parties, our handling fee \$100.00 (local) and \$200.00 (overseas)
Foreign Exchange Settlement	Handling fee \$100.00
Photocopy	\$3.00 per sheet
Paper Statement Fee	\$360.00 per year

#If no specification, using HKD as clearing currency

*Inactive account - account only has few stock quantity on hand and no transaction in the past two years.